

18th European Symposium on Computer Aided Process Engineering – ESCAPE 18
Bertrand Braunschweig and Xavier Joulia (Editors)

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M. Shacham, M. B. Cutlip and N. Brauner, "What is "In" and What is "Out" in Engineering Problem Solving", pp. 1187 - 1192 in B. Braunschweig and X. Joulia (Eds), 18th European Symposium on Computer Aided Process Engineering – ESCAPE 18, June 1 - 4, 2008, Lyon, France

What is "In" and What is "Out" in Engineering Problem Solving

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Abstract

The introduction of mathematical software packages as effective and efficient means for engineering problem solving allows the retirement of many calculational methods and the application of efficient computer-based techniques that are enabled by effective software. This paper discusses the following issues: analytical versus numerical solution techniques, graphical versus numerical solution techniques, teaching numerical methods and programming, validation and comparison of regression models, and determination of the number of significant digits in the reported results of numerical solutions.

Keywords: problem solving; numerical techniques; analytical techniques; graphical techniques; regression model validation; significant digits

1. Introduction

In recent years, traditional problem solving techniques (e.g., analytical, graphical, shortcut, trial and error methods and computer language programming) have been largely replaced by the use of mathematical software packages, such as MAPLE, Mathcad, MATLAB, Mathematica and POLYMATH. The general use of software packages enables easier, more rapid and more accurate problem solutions. However, this change has also required shifting the emphasis in the presentation of certain subject areas of chemical engineering due to the new capabilities in problem solving. Thus, some of the traditional problem solving techniques have been de-emphasized (they are "Out") and some new techniques are becoming very important (they are "In").

The usefulness of a particular method in engineering practice is not the sole consideration in deciding whether it should be included in the syllabus of a chemical engineering course. Some of the traditional methods may still have considerable pedagogical value as a simple and easy-to-understand introduction of the subject matter, and this should precede the application of rigorous mathematical modeling. Often graphical methods enable visualization of the solution process for better understanding.

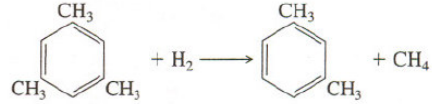
In this paper several examples are presented which demonstrate some of the considerations that should be used for deciding whether a particular method can still be considered as "In", or should be "Out".

2. Example 1 – Replacing the Analytical Solution by a Numerical Solution

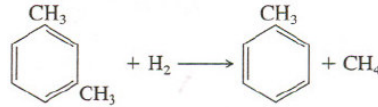
Fogler (1986, 1999) provides several examples where analytical solutions that were outlined in the earlier editions of his textbook "Elements of Chemical Reaction Engineering" have been replaced by numerical solutions. The "Hydrodealkylation of Mesitylene" problem (pp. 471-478 in Fogler (1986) and pp. 304-307 in Fogler (1999))

will be used for demonstrating the conditions where numerical solution is preferable to analytical solution.

In this example, the catalytic gas-phase production of *m*-xylene (X) by hydrodealkylation (with hydrogen, H) of mesitylene (M) in gas phase is considered:



The *m*-xylene can also undergo hydrodealkylation to form less valuable toluene (T):



The objective is to design a packed-bed reactor in which the production of the *m*-xylene is maximal, as *m*-xylene sells for a higher price than toluene. The mathematical model of the problem includes three simultaneous nonlinear ordinary differential equations (ODEs):

$$\frac{dF_H}{dV} = r_1 + r_2; \quad \frac{dF_M}{dV} = r_1; \quad \frac{dF_X}{dV} = -r_1 + r_2 \quad (1)$$

$$r_1 = -k_1 C_H^{1/2} C_M; \quad r_2 = -k_2 C_H^{1/2} C_X \quad (2)$$

where F is the volumetric flow rate, V is the reactor volume, r_1 and r_2 are the reaction rates of H, C is the concentration, and k_1 and k_2 are reaction rates constants. The ODE system of Equation (1) is nonlinear, and Fogler (1986) suggested defining conversion variables and combining equations in order to obtain one nonlinear ODE that can be solved analytically. The conversion variables were defined as:

$$X_{A1} = \frac{\text{moles of H consumed in reaction 1}}{\text{mole of H fed}}$$

$$X_{A2} = \frac{\text{moles of H consumed in reaction 2}}{\text{mole of H fed}}$$

Substituting the new variables into the ODE system (1) and additional manipulation of the equations results in the following equation which provides the relationship between X_{A1} and X_{A2} :

$$\frac{dX_{A1}}{dX_{A2}} = \frac{k_1(\theta_M - X_{A1})}{k_2(X_{A1} - X_{A2})} \quad (3)$$

where θ_M is the initial mesitylene/hydrogen ratio. Equation (3) can be brought into the form of Bernoulli's differential equation, which can be solved using an integrating factor. Substituting the initial conditions into the solution yields:

$$X_{A2} = \frac{[\theta_M - (k_1/k_2)X_{A1}] - \theta_M(1 - X_{A1}/\theta_M)^{k_2/k_1}}{1 - k_2/k_1} \quad (4)$$

This equation gives the moles of toluene formed per mole of hydrogen fed (X_{A2}) as function of moles of mesitylene consumed per mole of hydrogen fed (X_{A1}). Extensive manipulation of the equations (which requires approximately four pages in Fogler's 1986 book) is necessary in order to express the flow rates of the various products as function of the reactor volume and to find the optimal volume at which the production of *m*-xylene is maximal.

In the subsequent editions of the Fogler book (Fogler (1999), for example), the analytical solution is replaced by numerical solution by the POLYMATH software package (POLYMATH is a product of Polymath Software <http://www.polymath-software.com>). The POLYMATH model (which is essentially the same as shown in p. 307 of Fogler 1999, except that comments have been added) is shown in Table 1. The POLYMATH model (including the "comments" which start with the # sign) provides complete documentation of the differential and algebraic equations, the values of the constants, and the initial and final conditions. Note that the differential equations of Eq.1 have been rewritten in Table 1 in terms of concentrations and residence time ($\tau = V/v_0$).

The solution of the model of Table 1 yields the flow rate profiles of the various compounds shown in Figure 1. Observe that there is a clear maximum in the *m*-xylene flow rate in the vicinity of $V = 95 \text{ ft}^3$. Examining the numerical results obtained by POLYMATH (not shown) reveals that at the optimum $\tau = 0.197 \text{ h}$; $V = 94 \text{ ft}^3$; $C_X = 0.005067 \text{ lb-mol/ft}^3$ and $F_X = 2.412 \text{ lb-mol/h}$. The uncertainty in the optimal value of τ is less than 2% (0.003 h). Thus, there is no justification to use more sophisticated software to identify the optimum with higher precision.

This example provides the key points which make the numerical solution preferred to the analytical solution:

1. The solution is expected to be located within a well defined range of the independent variable(s), thus the fact that the numerical solution is valid only in a limited region is not a restriction in this case.
2. The problem is used in a textbook of "reaction engineering" where the main objective is to teach the students the modeling and critical analysis of the results aspects, rather than the technical details of the solution.
3. The analytical solution cannot be generalized, thus it is valid only for the particular form of the stoichiometric equations and rate expressions of the example. Any change in the model equations may require a completely different approach to an analytical solution (if still feasible).

The analytical solution technique is useful if the range in which the solution sought is not strictly bounded (when investigating asymptotic behavior, for example).

3. Example 2 – Graphical Solution Techniques – What is “Out” and What is Still “In”

Very few graphical solution techniques are currently used in chemical engineering practice, as most graphical methods require solving a simplified version of the problem (example - using a pseudo binary mixture instead of a multi component mixture in

multistage separation calculations). Moreover, they are much more time consuming and less accurate than the numerical solution techniques.

However for current educational use, the graphical solution technique can be "simulated" on the computer, thus eliminating the disadvantages of time requirement and inaccuracy. Do such simulations justify retaining the graphical method for educational purposes? This question will be investigated in connection with the McCabe-Thiele and Ponchon-Savarit methods for multistage separation calculations. There have been several attempts incorporating Excel spreadsheets or other software packages to simulate graphical techniques. For example, Joo and Choudhary (2006) have developed dedicated MATLAB programs for this purpose.

The educational value of the graphical techniques can be appreciated by a review of the steps involved in the preparation of rigorous mathematical models for distillation columns. These models involve the MESH equations (mol balance, equilibrium, summation, and enthalpy balance for each individual equilibrium tray) as stated and summarized by Seader and Henley (1998). Correlations expressing the temperature (and possibly pressure and composition) dependence of the vapor liquid equilibrium ratios must be provided. Additionally, the molar enthalpies of the individual components have to be added along with mixing rules in order to provide the molar enthalpies of the various liquid and vapor streams. The equations representing the individual trays, the condenser and the reboiler have to be combined together to represent the complete distillation column. For a student who is being acquainted for the first time with the operation of a distillation column, the complexity of the model may actually conceal the basic principles of the operation and the most important aspects associated with the design of a distillation column.

However, the simplified McCabe-Thiele method can provide an excellent introduction to important concepts and terms, such as the equilibrium curve, stripping-section and rectifying section operating lines, feed condition and feed-stage location, minimum and total reflux, etc. Consequently, on pedagogical grounds, there is a full justification to keep this method in the syllabus of "Separation Process" courses. Seader and Henley (1999) reach the same conclusion by noting "the graphical construction of the McCabe-Thiele method greatly facilitates the visualization of many important aspects of multistage distillation, and therefore, the effort required to learn the method is well justified."

The Ponchon-Savarit method can be viewed as an extension of the McCabe-Thiele method where the constant molar overflow assumption does not hold. Hence, there is a need to carry out energy balances to determine the vapor and liquid flow-rates. The benefits of teaching the Ponchon-Savarit method in addition to the McCabe-Thiele method are marginal. This realization caused, for example, Seader and Henley to remove the respective chapter (which was included in the 1981 edition) from the 1998 edition of their book.

Thus retention of graphical solution techniques (even with the necessary problem simplification and associated inaccuracies) has educational merit in cases wherever the simplified version of the problem and the solution method are important from the pedagogical point of view and the graphical presentation of the problem being solved enables better understanding.

4. Additional Issues

Parulekar (2006) provides several additional examples that can serve as basis for the discussion on what is "In" and what is "Out". Those examples will be briefly mentioned.

Parulekar (2006) presents an illustration (used in a Reaction Engineering course) where multiple linear regression is used to find the parameters of a regression model, which represents the reaction rate as function of the partial pressures of two compounds. The author suggests solving this problem by setting up and solving the "normal equations" of the regression. This is a typical example to instructors' tendency to teach numerical methods (and possibly also computer programming) in courses where those methods are used only as tools for a problem solution. As essentially all the numerical techniques needed in undergraduate education are included in widely used software packages, numerical methods and programming should be taught in courses dedicated to those issues. Thus teaching numerical methods in the regular chemical engineering courses is "Out"; using software packages for problem solving is "In".

In the same example, the omission of the validation phase of the regression model is evident. Most current software packages provide straightforward options for regression and curve fitting of models to data. The emphasis should now be shifted to include methods for validation of the regression models using residual plots, confidence intervals, and degrees of freedom for the selection the most appropriate model amongst several possibilities. Thus regression of data without model validation is "Out"; the use of residual plots, confidence intervals, and degrees of freedom in analyzing regression models is "In". This issue is discussed in detail by Shacham et al. (1996).

Another illustration presented by Parulekar (2006) shows a great discrepancy between the number of reported digits in the input numerical data (two decimal digits) and the number of reported digits in the computational results (20 digits). This illustrates the important issue of the number of significant digits that should be reported in the results of numerical solutions. In this era of computer calculations, the number of digits reported by the programs can be very large, irrespective of their numerical or physical significances. However, the number of reported digits should be based on context (e.g., data precision) and error analysis. Thus, indiscriminant "copy and paste" of numbers from the results sheet into the report is "Out", while context and error analysis dependent determination of the number of significant digits is "In". While this issue may seem obvious, the example taken from a recent educational publication shows that it requires further elaboration (see, for example, Shacham et al., 2002).

5. Conclusions

Current mathematical software packages enable the retirement of some previous calculational methods and facilitate the retention of some graphical techniques for enhancing the visualization and understanding of complex processes. Judicious use of mathematical software packages can greatly improve the educational process as illustrated in this paper and thereby favorably impact industrial practice.

In this paper the practical consideration of the most effective time allocation in a particular course was emphasized. However, there is a need to further investigate the pedagogical aspects of the points raised.

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Table 1. Partial POLYMATH Model for the Hydrodealkylation of Mesitylene

Line	Statement, # Comment
1	$d(CH) / d(\tau) = r_1+r_2$ #Hydrogen concentration (lb-mol/ft ³)
2	CH(0) = 0.021
3	$d(CM) / d(\tau) = r_1$ #Mesitylene concentration (lb-mol/ft ³)
4	CM(0) = 0.0105
5	$d(CX) / d(\tau) = -r_1+r_2$ #M-xylene concentration (lb-mol/ft ³)
6	CX(0) = 0
7	$r_1=-k_1*CM*CH^{0.5}$ #Reaction rate 1 (lb-mol/ft ³ /h)
8	$r_2=-k_2*CX*CH^{0.5}$ #Reaction rate 2 (lb-mol/ft ³ /h)
9	$k_1=55.2$ #Specific reaction rate 1 ((ft ³ /lb-mol) ^{0.5} /h)
10	$k_2=30.2$ #Specific reaction rate 2 ((ft ³ /lb-mol) ^{0.5} /h)
11	$v_0=476$ #Volumetric feed rate (ft ³ /hr)
12	$F_x=CX*v_0$ # m-xylene outlet flow rate (mol/h)
13	$V=\tau*v_0$ #Reactor volume (ft ³)
14	$\tau(0) = 0$ # Space time (hr)
15	$\tau(f) = 0.5$

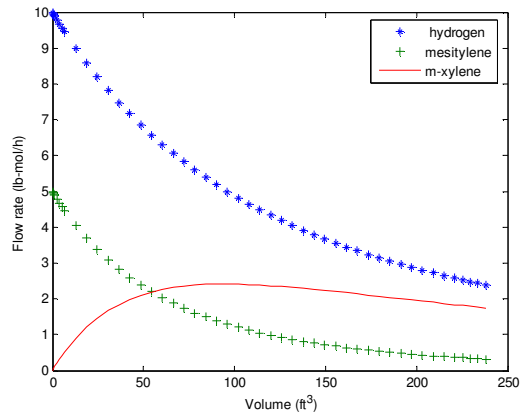


Figure 1 Molar Flow Rate Profile in a PFR